

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

polication No.:

10/717,785

Filing Date:

11/20/2003

Applicant:

Richard Veil et al.

Group Art Unit:

Examiner:

Title:

Safety Switching Module And Method For Testing The

Switching-Off Ability Of A Switching Element In A Safety

**Switching Module** 

**Attorney Docket:** 

4965-000168

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

### **INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

### I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

#### II. COPIES

A. X Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cited pending U.S. application, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

B. \_\_\_\_ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

## U.S. Serial Number

### U.S. Filing Date

	CX Because the present ap no copies of the U.S. patents o listed on the attached Form 14 C.F.R. § 1.98(a)(2)(i). Any fore listed on the attached Form 1449	r U.S. patent application p 49 are enclosed pursuant eign patent documents or	ublications which are to the waiver of 37	
	D This is a PCT application States. A copy of the Internation information. The documents list on the attached Form-1449 for any patent resulting from this apwas from the US, EPO, or JPC should have been supplied to the believed to be in the file of the attached.	nal Search Report is attached on the International Seaconsideration by the Examiplication. Since the International Search authorities, copies a USPTO under the trilater	ed for the Examiner's arch Report are listed iner and for listing on ational Search Report of these references al agreement and are	
III.	CONCISE EXPLANATION OF T	HE RELEVANCE (check at	least one box)	
	A Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).			
	BX_ A concise explanation other information listed that is no C.F.R. § 1.98(a)(3)):			
	counterpart foreign a 2English translations	• •		
	C. X_The following addition consideration. A copy of the In Examiner's information.			
IV.	CROSS REFERENCE TO RELA	TED APPLICATION(S)		
AThe Examiner is advised that the following co-pending app contain(s) subject matter that may be related to the present applicationing this(these) application(s) to the Examiner's attention, Application (does(do)) not waive the confidentiality provisions of 35 U.S.C. § 122.				
	Serial No.	Filing Date	Art Unit	

### V. THIS IDS IS BEING FILED UNDER

AX_37 C.F.R. § 1.97(b): (check only one box)
1. X within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R § 1.97(b)(1)). No fee or certification is required.
2 within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)) No fee or certification is required.
3 before the mailing of a first Office Action on the merits (37 C.F.R § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposition account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).
4 before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee o certification is required.
B <b>37 C.F.R. § 1.97(c)</b> : (check <u>only</u> one box)
before the mailing date of either any Final Office Action under 37 C.F.R § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.
1No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
2See the certification below. No fee is required.
C37 C.F.R. § 1.97(d):
after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.
1See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)
The undersigned hereby certifies that:
A each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. 8

VI.

1.97(e)(1)). See further statement under 37 C.F. R. 1.704(d) below in section VII, if applicable; or B. \_\_\_\_ no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry. no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)). C. \_\_\_\_Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS. VII. STATEMENT UNDER 37 CFR 1.704(d) The undersigned hereby states that: each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS. VIII. PAYMENT OF FEES (check only one box) A. \_\_\_\_ A check in the amount of \$180.00 is enclosed for the above-identified fee. B. \_\_\_\_Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached. The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination,

to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

Respectfully submitted,

Dated: December 4, 2003

Christopher M. Brock, Reg. No. 27313

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

CMB:bg



HDP-1449 (Based on Form PTO-1449)

# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 1

ATTORNEY DOCKET No.	SERIAL NO.	
4965-000168	10/717,785	
APPLICANT		
Richard Veil et al.		
FILING DATE	GROUP	
11/20/2003		

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		5,381,296	01/1995	Ekelund et al.	Y	

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
1.		42 24 620 C1		Germany			
2.		37 32 079 A1	04/1989	Germany			
3.		100 11 211 A1	09/2001	Germany			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)				
Ref. Desig.	Examiner's Initials			
1.				

Examiner:	Date Considered: